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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Guy LUMIA, et al.

SERIAL NO: 10/089,162

GAU: 1746

FILED: March 27, 2002

EXAMINER: CARRILLO

FOR: PROCESS FOR TREATMENT AND EXTRACTION OF ORGANIC CORK COMPOUNDS BY A DENSE FLUID  
UNDER PRESSURE

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

  
Stefan U. Koschmieder, Ph.D.

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(OSMMN 05/03)

Docket No. 220986US0X PCT



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OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

A handwritten signature in black ink, appearing to read "Stefan U. Koschmieder".

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Form PTO 1449 (Modified)			ATTY DOCKET NO. 220986US0X PCT			SERIAL NO. 10/089,162	
LIST OF REFERENCES CITED BY APPLICANT			APPLICANT Guy LUMIA, et al.				
			FILING DATE March 27, 2002		GROUP 1746		
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
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	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                  NO		
	AO						
	AP						
	AQ						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AR	Chouchi, D., et al., "SFE of Trichloroanisole from Cork", The 4 <sup>th</sup> International Symposium on Supercritical Fluids, May 11-14, Sendai, Japan, 1997, Pages 380-382.					
	AS	Miranda, Ana M., et al., "High-Pressure Extraction of Cork with CO <sub>2</sub> and 1,4-Dioxane", Process Technol. Proc. (1996), 12, High Pressure Chemical Engineering, Pages 417-422.					
	AT	Taylor, Marisa K., et al., "Supercritical Fluid Extraction of 2,4,6-Trichloroanisole from Cork Stoppers", J. Agric. Food Chem., 2000, 48, Pages 2208-2211.					
	AU	Debs-Louka, E., et al., "Effect of Compressed Carbon Dioxide on Microbial Cell Viability", Applied and Environmental Microbiology, February 1999, Pages 626-631.					
	AV	Dillow, Angela K., et al., "Bacterial inactivation by using near-and supercritical carbon dioxide", Proc. Natl. Acad. Sci., Volume 96, August 1999, Pages 10344-10348.					
	AW	Van Leer, Ruth A., et al., "Solubilities of Phenol and Chlorinated Phenols in Supercritical Carbon Dioxide", J. Chem. Eng. Data, 1980, 25, Pages 257-259.					
	AX				<input type="checkbox"/> Additional References sheet(s) attached		
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							